



Suffolk University, Government Department

Spring Semester 2009 Syllabus

**GVT 464 and GVT 860**  
**Preventive Diplomacy at the United Nations**

Instructor: Luise Druke, Ph.D.,

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Class: Thursdays 4.30-6.00pm

Classroom: Munce (Archer 110, 20 Derne St.) except 22 Jan.&amp; 2 April (McDermot Room, Donahue) 41 Temple St.

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**Objectives:** This course will explore the United Nations, the world's most comprehensive international organization, and examine in which situations preventive efforts through diplomacy and other peaceful means produced results over the past 60 years. We will also examine a more contemporary understanding of preventive diplomacy that entails early intervention in violent conflict, crisis management and political negotiation in a broad range of issues on the international political agenda.

Specifically, we will explore theories pertinent to UN and other international organizations, historical and institutional aspects, legal and functional elements and activities of key UN bodies with focus on the Security Council, the Secretary General, and other bodies, functions and actors, including peace keeping/making and their respective preventive diplomacy capacity and results. This course will be conducted through a combination of lectures and class discussions, presentations and group work.

Objectives: Upon completion of this course, students will have a better understanding of the United Nations, what it does, how and with whom it works in general and a grasp of main issues, accomplishments and of major challenges especially in the area of preventive diplomacy

Specifically, students will be able to:

- 1) Articulate, policy positions of selected issues of UN Member States, UN and non-governmental actors;
- 2) Outline the major steps and means for dealing with given scenarios applying preventive diplomacy elements;
- 3) Assess strength and weaknesses of a number of intervention options as they pertain to a chosen political problem;
- 4) Provide a rationale for selecting a particular intervention strategy (including mediation, negotiation, good offices, aid);
- 5) Outline specific tactics of diplomatic intervention, reflecting theoretical, political and practical considerations;
- 6) Negotiate specific issues in a preventive manner (as opposed to curative) in order to keep a given situation with conflict potential under control.

### Course Requirements

Students are expected to participate in all classes and complete weekly required reading prior to each class, (esp. B. Ramcharan and J. Hanhimaeki). The recommended readings provide a basis for assignments and the literature review, the term paper and individual study. In case of a documented emergency such as own illness or a close family member's illness, students need to advise the instructor in order to work out a solution.

### Grades will be based on the:

30% Class participation (including in multiple choice questions, in group work, in the UN Senior Lecturer Program on Thursdays from 6.30-7.45pm and in the Study Visit to the UN in New York on 26 March 2009).

10% Literature review (ca. 1000 words) of a case of UN preventive diplomacy in these readings (mid-term) due 26 Feb.2009, and

50% Term paper (ca. 8000 words for grad. students and 4000 words for undergrads) due on 16 April 2009 on a successful preventive diplomacy case covered in this course and the materials below. The best term papers will be published on the website of the United Nations Studies Project (UNSP) at Suffolk, see examples of such publications of the 2008 Spring UN course at: <http://www.suffolk.edu/college/27517.html>. Additional details about each assignment will be provided in class.

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**Negotiating teams will be set up**, to work together on topics to be assigned and report to the full class during the semester. Participation is encouraged in the Suffolk Model UN (student driven outside this class) and its conference, 12-15 February 2009 in Boston is encouraged, see for details: <http://www.hnmun.org/2008/websys.exe?file=index.html>. Students will also have an opportunity to dialogue with invited outside specialists.

The policy for this course is that by submitting any assignment for this course, the student affirms the Honor Pledge that the student has neither given nor received unauthorized aid on that assignment. Students may collaborate on reading summaries but they must submit their own written work. Research papers must be the individual work of each student.

### Required Readings:

These two books should be purchased, 3a and b and 4 are on the Blackboard, 3c and 5 and 6 are available on line.

1. Ramcharan, Bertram: *Preventive Diplomacy at the UN*, 2008 Indiana University Press
2. Hanhimaeki M, Jussi: *The United Nations*, 2008, Oxford University Press
3. a. The UN Charter, b. Universal Declaration of Human Rights, c.2005 World Summit Outcome (UN New York) [http://www.un.org/summit2005/presskit/fact\\_sheet.pdf](http://www.un.org/summit2005/presskit/fact_sheet.pdf).
4. *An Agenda for Peace: Preventive Diplomacy...* U.N. Doc. A/47/277, S/24111 (1992). <http://www.un.org/Docs/SG/agpeace.html>
5. High-Level Panel on Threats, Challenges & Change, *A More Secure World: Our Shared Responsibility*, U.N. Doc. A/59/565, 04
6. Panel on United Nations Peace Operations, *Comprehensive Review of the Whole Question of Peacekeeping Operations in All Their Aspects*, U.N. Doc. A/55/305, S/2000/809 (Aug. 21, 2000) (prepared by Lakhdar Brahimi), available at [http://www.un.org/Depts/dpa/prev\\_dip/fst\\_prev\\_dip.htm](http://www.un.org/Depts/dpa/prev_dip/fst_prev_dip.htm) [hereinafter *Brahimi report*].

Class Schedule and assignments (subject to adjustments)			
Date	Class	Class Topics/ Discussion/	Required readings/assignments
15 Jan, Munce	1	UN & Preventive Diplomacy: Historical & institutional analysis <b>Students' group work</b>	BR 10-23, JH 1-50 UN Charter Ch. I, II
22 Jan McDermott	2	Theoretical, practical and legal of the UN <b>Students' group work</b> <b>Discussion to prepare study trip</b>	BR 24-60, JH 50-70 UN Charter Ch. III, IV, Outcome Doc. 2005 UN Summit
29 Jan Munce	3	UN Security Council: Policy & practice of Prev. Diplomacy <b>Students' group work</b>	BR 61-78, JH 50-70 UN Charter Ch. VI, VII
5 Feb Munce	4	UN Secretary-General: Policy & practice of Prev. Diplomacy; 'Responsibility to protect', <b>Students' group work</b>	BR 79-104, "Agenda for Peace" UN Charter Ch. XV, esp. Art. 99
12 Feb Munce	5	UN Conflict prevention with case studies <b>Students' negotiation teams will be set up</b>	BR 105-116, UN Charter Ch. 5
19 Feb Munce	6	UN and regional conflict management and prevention <b>Students' presentations</b>	BR 117-137 UN Ch. VIII, (Mid term due)
26 Feb Munce	7	Preventive role of UN Peacekeeping-building-making Video on UN Peacekeeping	BR138-148, JH 71-91 Johnstone: Briefing on PKOps
5 Mar Munce	8	Preventive diplomacy in the areas of human rights- refugee protection and in other humanitarian situations <b>Students presentations</b>	BR 149-174, JH 111-134 Univ. Dec. Human Rights
12 Mar Munce	9	Preventive Diplomacy in times of nontraditional security threats and terrorism, <b>Students' group work</b>	BR 175-193 Bruderlein: Managing sec. risks
19 Mar	-	No class- Spring Break	
26 Mar	10	UN Study visit to New York (one day) see sep. program	
2 Ap McDermott	11	A Review and evaluation of experience at the United Nations in New York Negotiation teams will continue working sessions	BR 209-217
9 Ap Munce	12	Emerging issues with relevance to UN preventive diplomacy <b>Students' negotiation teams will be set up</b>	Human Rights and Business
16 Ap Munce	13	<b>Students' negotiation teams will present their reports</b> Wrapping up and evaluations	Final Term Paper, 8000/4000 words Due by email to the instructor by noon

In order to make our discussions about the United Nations more concrete, it will be important for all of us to keep up with current events at the United Nations. Fortunately, an organization called the United Nations Foundation publishes a daily summary of news relating to the work of the United Nations from around the world, with links to many news outlets that have published articles on

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the UN. It is called UN WIRE, a free service you get via email, and you should all sign up for it using the link on the course web site. When you sign up, you can specify issues that you are particularly interested in following, see at: [un.wire@smartbrief.com](mailto:un.wire@smartbrief.com). You may also sign up with the International Crisis Group: [notification@crisisgroup.org](mailto:notification@crisisgroup.org).

**Topics and the recommended readings (most are on the blackboard and in the Reader on reserve, CAS Lib):**

**15 Jan. 1: UN and Preventive Diplomacy: Historical & institutional analysis**

- 1.1. Martin, Lisa L. and Beth A. Simmons, "Theories and Empirical Studies of International Institutions", in: International institutions/ An International Organization, Reader, MIT Press, Cambridge, 2001, pp. 437-465.
- 1.2. Ekeus, Rolf: "Preventive Diplomacy", High Commissioner on National Minorities for the OSCE, The Hague, 2003, pp 1-16.
- 1.3. Brown Michael and Richard N. Rosencrance eds., "The Case for Conflict Prevention" with a Summary of the Effectiveness of Conflict Prevention Effort (in \$US Billions), in The Cost of Conflict, Prevention and Cure in the Global Arena, Carnegie Commission on Deadly Conflict, 1999, pp. 221-232.
1. 4. Jentleson, Bruce J.: "Preventive Diplomacy and Ethnic Conflict: Possible, Difficult, Necessary"; Univ. of California, Davis, Policy Paper # 27, June 1996, ISSN 1088-2081, Institute on Global Conflict and Cooperation, pp 1-22.
1. 5. Walt, Stephen M., "International Relations: One World, Many Theories," *Foreign Policy*, No. 110 (Spring 1998) online.

**22. Jan. 2: Theoretical, practical and legal of the UN**

- 2.1. Franck, Thomas, M: "The United Nations as Guarantor of Int'l. Peace and Security: Past, Present and Future" in: Christian Tomuschat ed. The United Nations at Age Fifty, A Legal Perspective, Kluwer, 1995, pp 22-38.
- 2.2. Barston, Ronald Peter: "The changing nature of diplomacy", in Modern Diplomacy, Longman, 1997, pp 1-9
2. 3. Bolewski, Wilfried: Diplomcy and International Law in Globalized Relations, Springer, 2007, pp 15-23.
- 2.4. Chatterjee, Charles: International Law and Diplomacy, Routledge, 2007, T of Contents, Conclusions and 1 p. of Vienna Convention on Diplomatic Relations and Optional Protocols, 1961, and Vienna Convention on Consular Relations and Optional Protocols, 1963.
- 2.5. Sen, B: A Diplomat's Handbook of International Law and practice, Selected Topics, Treaty making, 566-575.
- 2.6. Babbitt, Eileen and Williams Kristin; 2008- PON: Complementary Approaches to Coexistence Work: Focus on Coexistence and Human Rights, Paper, [www.pon.harvard.edu/news/2008/paper\\_babbitt.php](http://www.pon.harvard.edu/news/2008/paper_babbitt.php)

**29 Jan. 3: UN Security Council: Policy & practice of Preventive Diplomacy**

- 3.1. Patil, Anjali V., The UN Veto in World Affairs 1946-1990, A Complete Record and Case Histories of the Security Council's Veto, UNIFO, Mansell, 1992, pp 11-16.
- 3.2. Malone, David: Polics in the UN Security Council 1980-2005, Oxford University Press, 2006, 3 pp.
- 3.3. G. B. Berridge, "The Security Council and 'Secret Diplomacy'", in Return to the UN, Department of Politics, University of Leicester, 1991, pp 1-5.
- 3.4. Luck, Edward: UN Security Council, Practice and Promise, Routledge, 2006 (Bookshop).
- 3.5. Steiner, Barry H.: Collective Preventive Diplomacy: A study in international conflict management, State University Press, 2004 (CAS Library)

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**5 Feb. 4: UN Secretary-General: Policy and practice of Preventive Diplomacy and “Responsibility to Protect”**

- 4.1. Slaughter, Anne-Marie and Lee Feinstein: “A Duty to protect”, Foreign Affairs, 2004, on line <http://www.foreignaffairs.org>, 7 pp.
- 4.2. Seyboldt, Taylor : Humanitarian Military Intervention, The Conditions for Success and Failure, Oxford University Press 2007, pp 267-281.
- 4.3. Zartman, William: “Preventive Diplomacy and Conflict Resolution Conference Summary”, Stanford University, Carnegie Commissioner on Preventing Deadly Conflict, New York, 1999, pp 39-49.
- 4.4. Djibom, Joel: An Analysis of Hammarskold’s Theory of Preventive Diplomacy, Thesis presented... UN Peace Support Operations, pp. 1-52, see also: <http://www.peaceopstraining.org/theses/djibom.pdf> accessed, 21 Nov. 2008.
- 4.5. Falkman, Kai: To Speak for the World, Speeches and statements by Dag Hammarskold, Secretary-General of the United Nations 1953-1961. Atlantis, Stockholm 2005, pp. 254.

**12 Feb. 5: UN Conflict prevention with case studies**

- 5.1. Toscano, Roberto, “Conflict Prevention: Performance, Prospect, and Potential” in Kirton, J.J. and R. N. Stefanova, The G8, the United Nations and Conflict Prevention, Asgate, 2004, pp 87-102.
- 5.2. Wouters, Jan and Tom Ruys, “UN-EU Cooperation in Crisis Management”, in The United Nations and the European Union: An Ever Stronger Partnership, ed. Jan Wouters et al., T.M.C. Asser Press, The Hague, 2006, pp 229-258.
- 5.3. Dobbins, James and al: The UN’s Role in Nations-Building, From Congo to Iraq the UN success rate among Missions studied-seven out of eight societies left peaceful, six out of eight left democratic-substantiates the view that nation-building can be an effective means of terminating conflicts, insuring against their reoccurrence...<http://www.rand.org/pubs/monographs/MG304/index.html>.
- 5.4. Druke, Luise: "The United Nations in Conflict Prevention", in: The Art of Conflict Prevention. Brassey's Atlantic Commentaries, No. 7, London, New York, 1994, eds. Wemer Bauwens and Luc Reychler, <http://www.luisedruke.com/books.htm>, pp. 22-71.

**19 Feb. 6: UN and Regional conflict management and prevention**

- 6.1. Cillier, Jakkie and Mark Shaw, “Towards a South African Policy on Preventive Diplomacy and Peace Support Operations”, Institute for Defense Policy and Greg Millis South Africa Institute for Intl. Affairs, 1995, pp 1-15.
- 6.2. Ackermann, Alice: Preventing Violent Conflict in Macedonia, Syracuse Univ. Press, 1999.
- 6.3. Ramacharan, Bertram: Conflict Prevention in Practice, ed. Nijhoff, 2005, selected case studies on:
1. The Continental Early Warning System of the African Union, B. Ramcharan, pp 71-74
  2. The ASEAN ...Conflict Prevention in Southeast Asia and East Asia, Robin Ramcharaan, pp 91-112
  3. International Conflict Prevention: Fact finding mission, Bulgaria and Turkey, B. Ramcharan, pp 113-138
  4. Internal Conflict Prevention: The UN Observer Mission to South Africa, A. King, pp 153-156
  5. Preventing War between Eritrea and Yemen over the Hanish Islands, B. Ramcharan, 157-198
  6. Internal Conflict ...Containment of Terrorism: Panel of Eminent Persons to Algeria, B.R. pp 169-190
  7. Conflict Contamination: The Security Council’s Mission to East Timor, Peter van Walsum, pp 191 198
  8. Good Offices, ...nature of the Border dispute b/n Cameroon and Nigeria, Jack Christofides, pp 211-219

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9. Burundi: Evolution of Conflict prevention Approaches, Yasser Sabra, pp 219-229.

6.4. Daniel Warner, Preventive Diplomacy: The United Nations and the OSCE PSIO Occasional Paper, Number 1/1996, published by the Program for the Study of Intl. Organization(s), Graduate Institute for Intl. Studies.  
<http://graduateinstitute.ch/webdav/site/iheid/shared/iheid/514/1-1996.pdf>.

### **26 Feb. 7: Preventive role of UN Peacekeeping-building-making**

7.1. Stephen J. Stedman et al. ed. Ending Civil Wars, The Implementation of Peace Agreements, Lynne 2002, pp 663-670.

7.2. Siobhan Wills, “The Responsibility to Protect” by Peace Support Forces under Intl. Human Rights Law” in Ray Murphy and Kataarina Mansson, eds. Peace Operations and Human Rights, Routledge, 2008, pp.21-32.

7.3. Johnstone, Ian: Annual Review of Global Peace Operations, 2007 Briefing Paper, with the support of Peacekeeping Best Practices Section, UN Department of Peacekeeping Operations and the Intl. Peace Academy, see at: [http://www.cic.nyu.edu/internationalsecurity/docs/peacekeeping\\_BRIEFINGPAPER.pdf](http://www.cic.nyu.edu/internationalsecurity/docs/peacekeeping_BRIEFINGPAPER.pdf).

7.4. Doyle, Michael, Sambanis, Nicholas: Making War & Building Peace, pp 1-23 and making Peace: Successes El Salvador, Cambodia, Eastern Slavonia, East Timor, pp 197-243 and the Peacebuilding Record pp 334-346 (Suffolk Library GVT 468/668 - UN and Humanitarian Governance, Spring 2008).

### **5 Mar. 8: Preventive diplomacy in the areas of human rights-and refugee protection and in other humanitarian situations**

8.1. Flood, Patrick James; The effectiveness of UN human Rights institutions, Excerpt on “SR on Chile”, pp 82-97

8.2. Ramcharan, Bertram: Conflict Prevention in Practice, ed. Nijhoff, 2005, The Prevention of Gross Violations of Human Rights, Report of the Office of High Commissioner for Human Rights (2000), CHAPTER XVIII and The Human Rights Dimension: Human Rights And Risk Analysis, CHAPTER XVII, pp 229-235.

8.3. DiPrizio, Robert C.: Armed Humanitarians, U.S. Interventions from Northern Iraq to Kosovo, John Hopkins University Press, 2002, pp. 147-168.

8.4. Clapham, Andrew: Human Right, Oxford University Press, 2007, pp 57-81 (Suffolk Library GVT 468/668 - UN and Humanitarian Governance, Spring 2008)

8.5. Druke, Luise: Preventive Action for Refugee Producing Situations, Lang, Frankfurt, New York, European University Studies, 2<sup>nd</sup>. Ed.1993, see <http://www.luisedruke.com/luise/kn2.pdf>, online, 191-225.

8.6. Pohjolainen, Anna-Elina: The Evolution of National Human Rights Institutions. The Role of the United Nations, Danish Institute for Human Rights, see online: [www.humanrights.dk](http://www.humanrights.dk).

8.7. Hannum, Hurst. (2006) Human Rights in Conflict Resolution: The Role of the Office of the High Commissioner for Human Rights in UN Peacemaking and Peacebuilding. Human Rights Quarterly, Vol. 28, pp. 1-85, online: [http://muse.jhu.edu/login?uri=/journals/human\\_rights\\_quarterly/v028/28.1hannum.html](http://muse.jhu.edu/login?uri=/journals/human_rights_quarterly/v028/28.1hannum.html).

### **12 Mar. 9: Preventive Diplomacy in times of nontraditional security threats and terrorism**

9.1. Bruderlein C., Gassmann, P. “Managing Security Risks in Hazardous Missions: The Challenges of Securing UN Access to Vulnerable Groups” in Harvard Human Rights Journal, Vol. 19 (Spring 2006), pp. 63 – 92.

9.2. Coleman, Katharina P.: International Organizations and Peace Enforcement, The Politics of International Legitimacy, Cambridge University Press, 1999, Peace enforcement pp 8-10 and Conclusions pp 279- 313.

### **19 Mar. Spring Break, no class**

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**26 March 10: United Nations Study Visit to New York (one day)**, See the program separately

**2 Apr. 11: Review and evaluation of experience at the United Nations in New York**

11.1. Dimitris Bourantonis & Jarrod Weiner, *The United Nations in the New World Order*, St. Martin's Press, NY 95.

11.3. Sangtu Ko, Woondo Choi and Taeyoung Yoon, "Conflict Management in the Post-Cold War Era: Preventive Diplomacy and PKO", *Korean Journal of Defense Analysis*, Vol. XVII, No. 2, Fall 2005, pp. 35-62.

**9 Apr. 12: Emerging Issues with relevance to conflict prevention and preventive diplomacy**

12.1. Nelson, Jane: *The Business of Peace: The private sector as a partner in conflict prevention and resolution*.2000  
<http://www.amnesty.ch/fr/shop/articles/livres/themendokumente/the-business-of-peace-the-private-sector-as-a-partner-in-conflict-prevention-and-resolution>

12.2. Statement by Prof. John Ruggie, Special Representative of the Secretary-General on Human Rights and Transnational Corporations and Other Business Enterprises 63rd session of the General Assembly, Third Committee, Agenda Item 64 (b): "Promotion and protection of human rights: Human rights questions, including alternative approaches for improving the effective enjoyment of human rights and fundamental freedoms", 27 October 2008, New York, <http://www.hks.harvard.edu/news-events/news/testimonies/john-ruggie-testimony-oct>, and on his mandate: [http://ap.ohchr.org/documents/E/HRC/resolutions/A\\_HRC\\_RES\\_8\\_7.pdf](http://ap.ohchr.org/documents/E/HRC/resolutions/A_HRC_RES_8_7.pdf), accessed 16 Nov08.

12.3. Andreas Wenger, Daniel Moeckli, *Conflict Prevention – The Untapped Potential of the Business Sector*, Lynn, London 2003.

**16 Apr. 13: Wrapping up and evaluation of the course. Term Research Paper is due** at noon by email.

"New European Preventive Diplomacy (NEPD)" European Universities Consortium Summer University – Sopot, 2009, *European Preventive Diplomacy*, <http://euc.org.pl/index.php>

**Further recommended specialized readings, articles/papers include, available in the CAS Library or online**

Druke, Luise: (2008) "Recent UN Studies Initiatives", in *UN Studies, Umrisse eines Lehr- und Forschungsfeldes*, ed. by Manuel Froehlich, NOMOS (The United Nations and Global Change, Bd.1), pp. 193-208. (CAS Library)

Helton, Arthur (2002): *The Price of Indifference: Refugees and Humanitarian Action in the New Century*, Oxford University Press. (CAS Library)

Kennedy, David. 2004. *The Dark Sides of Virtue. Reassessing International Humanitarianism*. Princeton University Press. (CAS Lib.)

Kennedy, Paul: *The Parliament of Man, The Past, Present, and Future of the United Nations*, Random House, New York, 2008.

Weiss, Thomas; (2005) *Military-Civilian Interactions Humanitarian Crises and Responsibility to Protect*. (CAS Library).

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