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ACADEMIC APPOINTMENTS

2016-present	Suffolk University, Associate Professor of Finance
2019-2020	Fulbright Scholar, Visiting Professor, Vienna University of Economics and Business
2012-2016	Suffolk University, Assistant Professor of Finance
2013-present	Associate, Global Center for Political Finance, University of British Columbia
2007-present	Associate, Center for Venture Research, University of New Hampshire
2006-2012	University of New Hampshire, Assistant Professor of Finance

EDUCATION

2002-2006	PhD, Finance, Michigan State University
2001-2002	MBA, Finance, State University of New York at Buffalo, Graduated with distinction
1991-1995	BS, Materials Engineering, Rensselaer Polytechnic Institute

MAJOR PUBLICATIONS AND RESEARCH UNDER SUBMISSION

Governance in the absence of regulation: A study of ICOs, under revision to resubmit, *Management Science*, with Sangho Yi

The Lifecycle Effects of Takeover Defenses, revise and resubmit, *Review of Financial Studies*, with Jonathan Karpoff and Sangho Yi, Paper featured on Harvard Law School Forum on Corporate Governance August 15, 2016.

Seasoned Equity Offerings and Customer-Supplier Relationships, 2018, *Journal of Financial Intermediation* 33 pp 98-114, with Jun-Koo Kang, Ronald Masulis, and Sangho Yi

The Bonding Hypothesis of Takeover Defenses: Evidence from IPO Firms, 2015, *Journal of Financial Economics* 117: 2 pp 307-332, with Jonathan Karpoff and Sangho Yi.

Corporate Fraud and the Value of Reputations in the Product Market, 2014, *Journal of Corporate Finance* 25 pp16-39, with Wenjuan Xie and Sangho Yi, abstracted in *CFA Digest*, 2014, 44: 7

The Certification Role of Large Customers in the New Issues Market, 2010, *Financial Management* 39: 4 pp 1425-1474, with Jun-Koo Kang and Sangho Yi.

The Distribution of IPO Holdings across Institutional Funds, 2009, *Journal of Business Ethics* 90:2 pp 119-128, with Jennifer Marietta-Westberg.

OTHER PUBLICATIONS AND RESEARCH UNDER SUBMISSION

The commitment value of takeover defenses, 2019, *European Journal of Finance* 25: 1 pp 79-100, with Sungwoo Nam and Sangho Yi

Organizational Complexity and Innovation Portfolio Decisions: Evidence from a Quasi-Natural Experiment, 2019, *Journal of Business Research* 98 pp 153-165, with Nesij Huvaj

Powerful CEOs and Corporate Governance: Evidence from Analysis of CEO and Director Turnover after Fraud, 2014, *Asia Pacific Journal of Financial Studies* 43: 6, pp 838-872, with Sangho Yi.

CEO characteristics, CEO contracting, and the value impact of antitakeover provisions, 2013, *Yonsei Business Review* 97, pp145-176, with Sangho Yi.

How do founder CEOs create value? 2013, *Asia Pacific Journal of Financial Studies* 42:5, pp 777-812, with Sangho Yi.

Private firm investors and product market relationships: Certification and networking, 2013, *Journal of Economics and Business* 65: 1 pp 55-85.

Initial Public Offerings and Pre-IPO shareholders: Angels versus Venture Capitalists, 2012, *Journal of Developmental Entrepreneurship* 17: 4, pp 55-78, with Jeffrey Sohl

Angels and Venture Capitalists in the IPO Market, 2012, *Venture Capital* 14: 1 pp 27-42, with Jeffrey Sohl.

Underpricing and Underwriter Wealth Gains, 2011, *Investment Management and Financial Innovations* 8: 4 pp 48-66.

Universal Banking, Asset Management, and Stock Underwriting, 2009, *European Financial Management* 15:4 pp 703-732, with Jennifer Marietta-Westberg.

WORKING PAPERS AND WORK-IN-PROGRESS

The Consequences to Directors of Deploying Poison Pills, with Jonathan Karpoff and Michael Wittry

Corporate Governance and the Sunset Clause: Evidence from Poison Pills, with Sangho Yi

Dual-class Voting Structure and Firm Value: Evidence from France, with Stephen Ciccone and Mihail Miletkov

HONORS AND AWARDS

Fulbright US Scholar Grant, 2019-2020

Semi-finalist, Best Paper Award, 2017, Financial Management Association
The Lifecycle Effects of Takeover Defenses

Best Paper Award, 2012, Financial Management Association,
Best Paper Award, 2011, Financial Research Association,
The Bonding Hypothesis of Takeover Defenses: Evidence from IPO Firms

Semi-finalist, Best Paper Award, 2010, Financial Management Association
Supply-Chain Spillover Effects and the Interdependence of Firm Financing Decisions

SSRN Top 10% author downloads, June 2017-January 2019

SSRN Top Ten download list

Corporate fraud and the value of reputations in the product market, October 2012, November 2012
The lifecycle effects of takeover defenses, July 2016 – September, 2016

Top 10% of SSRN downloads 4.28th percentile, April 2017, May 2017

Suffolk University Dean's Award for Excellence in Research, 2016.

Center for Teaching Excellence Thank-A-Prof Program, Suffolk University, January 2015, June 2013

University of New Hampshire Faculty Excellence Award, October 18, 2011

Whittemore School of Business and Economics, Excellence in Teaching Award, May 5, 2011

Virginia Paul Dee Professorship, University of New Hampshire, 2006-2008

Beta Gamma Sigma, 2002

MEDIA CITATIONS

Return of the big pay day, Boston Business Journal, June 14, 2013.

INVITED PAPER PRESENTATIONS

University of Rhode Island, 2018

Rensselaer Polytechnic Institute, 2017

University of Notre Dame Law School, Limiting Shareholder Rights, 2015

Bentley University, 2015

Suffolk University, 2012

Auburn University, 2011

University of Massachusetts, Amherst, 2010

Securities and Exchange Commission, 2010

University of Connecticut, 2008

Boston College, 2007

University of New Hampshire, 2005, 2006, 2007, 2011, 2014, 2016

Clemson University, 2005

Marquette University, 2005

Michigan State University, 2005

PROFESSIONAL PRESENTATIONS

Dual-class Voting Structure and Firm Value: Evidence from France
Eastern Finance Association annual meeting, 2019

The Lifecycle Effects of Takeover Defenses

Financial Management Association, 2017

Conference on Empirical Legal Studies, Duke University, 2016

Powerful CEOs and Corporate Governance: Evidence from Analysis of CEO and Director Turnover after Fraud
Financial Management Association, 2014

The Bonding Hypothesis of Takeover Defenses: Evidence from IPO Firms

American Finance Association Annual meeting, 2015

BYU Red Rock Conference, 2013, coauthor presentation

Financial Management Association, 2012

Conference on Empirical Legal Studies, 2012

Financial Research Association, 2011, coauthor presentation

Supply-Chain Spillover Effects and the Interdependence of Firm Financing Decisions

Financial Intermediation Research Society, 2012, presentation
UBC Winter Finance Conference, 2012, presentation
Yale University, Conference on Empirical Legal Studies, 2010, presentation
Financial Management Association Annual meeting, 2010, presentation

The Certification Role of Large Customers in the New Issues Market
Oxford University European Financial Management Symposium: Initial Public Offerings, 2008
Financial Management Association Annual Meeting, 2005, presentation

Initial Public Offerings and Pre-IPO shareholders: Angels versus Venture Capitalists
University of North Carolina / Babson College Entrepreneurial Research Conference, 2008

Universal Banking, Asset Management, and Stock Underwriting
Vanderbilt University Conflicts of Interest in Financial Markets, 2006, coauthor presentation

Price Discovery in Stock and Bond Prices
Financial Management Association Annual Meeting, 2004, presentation

OTHER ACTIVITIES

Associate Editor, European Journal of Finance

Ad-Hoc Referee, Annals of Finance, Economics Letters, Financial Management, Financial Review, Journal of Banking and Finance, Journal of Business Ethics, Journal of Corporate Finance, Journal of Empirical Legal Studies, Journal of International Financial Markets, Institutions & Money, Pacific-Basin Journal of Finance, Management Science

Secretary, Board of Directors, Sergeant William Harlow Association, 2012-present

Auditor, Pilgrim Presbyterian Church, 2013

Financial Management Association Annual Meeting
Program Committee Member, 2017, 2016, 2015, 2014, 2012
Discussant, 2018, 2016, 2014, 2012, 2008, 2007
Session chair, 2018, 2016, 2008

CONSULTING

AMP Inc. (a division of Tyco); McDermott International; In addition, I have taught finance courses at Fidelity Investments in Manchester, NH.

TEACHING EXPERIENCE

Michigan State University: Financial Derivatives and Introduction to Investments
Fall 2005, Spring 2006, Average Student Ranking: 4.4

University of New Hampshire: Graduate and undergraduate classes
Fall and Spring 2006-2012, Summer 2011, Average Student Ranking: 4.5

Suffolk University: Graduate Investment Analysis (FIN 810)
Fall and Spring 2012-2014, Average Student Ranking: 4.6

Undergraduate Investment Analysis (FIN 315)
Fall and Spring 2012-2014, Average Student Ranking: 4.4

Undergraduate Financial Management (FIN 200)
Fall 2014-2018, Average Student Ranking: 4.4

Undergraduate Portfolio Analysis (FIN 413)
Fall 2013-2018, Average Student Ranking: 4.7

Undergraduate Securities Analysis (FIN 409)
Spring 2014- 2018 Average Student Ranking: 4.9